

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

For Six Month Period Ending OCT 24 1977
(Insert date)

RECEIVED
DEPARTMENT OF JUSTICE
NOV 19 10 12 AM '77
REGISTRATION UNIT
CRIMINAL DIVISION

Name of Registrant
H. William Tanaka d/b/a
Tanaka Walders & Ritger
Business Address of Registrant
1819 H Street, N.W.
Washington, D. C. 20006

Registration No.
948

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? ☐ Yes ☒ No

If yes, identify each such person and describe his services.

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6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity?
Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
Wesley K. Caine	Apt. A-501 1111 Army-Navy Drive Arlington, Va. 22202	Attorney	9/6/77

II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

See Appendix A attached hereto

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Appendix A attached hereto

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☒ No ☐

If yes, describe fully.

See Appendix A attached hereto

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
5/77 - 10/77	From the Government of Japan through the Embassy of Japan	Legal and informational purposes	\$13,800.00
5/77n- 10/77	From the Government of Japan through the Embassy of Japan through the U.S.-Japan Trade Council	Legal and informational services	\$ 3,809.58

Total \$17,609.58

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
<u>Government of Japan</u>			
<u>Embassy of Japan</u>			
various	various	transportation	\$ 85.00 (est)
various	Xerox Corporation	duplicating & supplies	\$300.00 (est)
various	Stotts, Inc.	Office supplies	\$150.00 (est)
various	Donald L.E. Ritger	Legal and informational services	\$1,500.00 (est)
<u>U.S.-Japan Trade Council</u>			
various	Stotts, Inc.	office supplies	\$ 20.00 (est)
various	Xerox Corporation	duplicating & supplies	\$ 30.00 (est)
various	Lawrence R. Walders	Legal & informational services	\$ 300.00 (est)
<u>Japan Trade Center</u>			
various	William C. Triplett	Legal & informational services	\$ 400.00 (est)
various	Laure E. Obolensky	secretarial & clerical	\$ 50.00 (est)
various	Stotts, Inc.	Office supplies	\$ 30.00 (est)
various	Xerox Corporation	Duplicating & supplies	\$ 50.00 (est)

Total \$2,915.00 (est)

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?
Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (Specify) _____

21. What language was used in this political propaganda:

☐ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?

Yes ☐ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?

Yes ☐ No ☐

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐

Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6-month reporting period.

Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

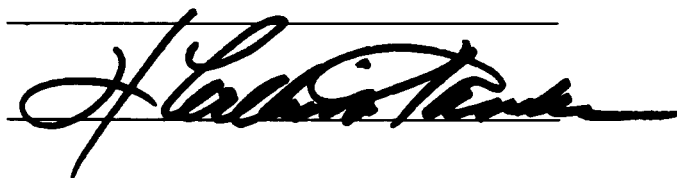
Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)



H. WILLIAM TANAKA

Subscribed and sworn to before me at

Washington, D.C.

this

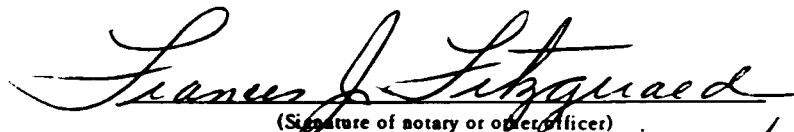
16th

day of

November

, 19

77



(Signature of notary or other officer)

my commission expires: 5/14/82

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

APPENDIX A

H. WILLIAM TANAKA, d/b/a Tanaka Walders & Ritger

Registration No. 948

Supplemental Statement filed for period April 25, 1977 through
October 24, 1977

II - FOREIGN PRINCIPAL

Item No. 10

Government of Japan:

- (a) Japan Trade Center, 1221 Avenue of the Americas,
New York, New York
- (b) U.S.-Japan Trade Council, 1000 Connecticut Ave., N.W.,
Washington, D. C.
- (c) Embassy of Japan, 2520 Massachusetts Ave. N.W.,
Washington, D. C. 20008

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III - ACTIVITIES

Item No. 11

Government of Japan:

(a) Japan Trade Center: Reported on recent countervailing duty determinations by the U.S. Treasury Department and analyzed their impact on the Zenith case and new application of de minimis rule and certain Japanese practices held not to be bounties; reported on House Ways and Means Committee and Senate Finance Committee differences over administration of and Fiscal Year 1978 appropriations for International Trade Commission and action thereon by the Conference Committee, House Subcommittee hearings on Customs Procedural Reform Act; analyzed Customs Service proposal to change Country of Origin Marking requirements on consumer electronic products; reported on International Trade Commission actions relating to imports of citizens' band transceivers, cast iron cooking ware, watches, swimming pools, paving equipment and other products under the Antidumping Act, 1921, as amended and Section 201 of the Trade Act of 1974; reported on Consumer Product Safety Commission hearings on repurchase of Banned Tris garments and other CPSC actions covering unstable metal refuse bins, electrical toys, glass and fire-works, ash containing asbestos, use of chemical formulas in consumer products, etc.; and otherwise reported on Executive Departments and agencies, congressional and industry activities bearing on imports.

(b) U.S.-Japan Trade Council: Lawrence R. Walders attended an estimated two staff meetings for the purpose of briefing Council staff members on developments affecting importations of electronic products and reviewing and editing for factual accuracy a few U.S.-Japan Trade Council reports.

(c) Embassy of Japan: Registrant and/or his staff furnished legal and informational services including preparation of a preliminary assessment of the three-year color television U.S.-Japan Orderly Marketing Agreement (OMA); analysis of United States v. Zenith case and follow-up reports on current developments including arguments held before the U.S. Court of Customs and Patent Appeals, filing by Zenith of petition for certiorari before the Supreme Court and assessment of possible Supreme Court action; analysis of E. F. Johnson petition before the U.S. International Trade Commission under Section 201 of the Trade Act of 1974 covering imports of citizens' band transceivers; analysis of U.S. Supreme Court position on application of the antitrust laws to vertical restrictions limiting intrabrand competition, ruling holding that only direct purchasers may sue for treble damages; analysis of Office of Management and Budget Director Burt Lance's resignation and its implications for the Carter Administration economic policy; review and analysis of developments in the U.S. steel industry including congressional, industry and Treasury Department actions in connection with imports thereof; analysis of U.S. Treasury Department decisions in antidumping and countervailing duty cases; analysis of Administration experimental adjustment assistance program for the shoe industry; analysis of House Subcommittee on Trade of the House Ways and Means Committee hearings on H.R. 8149, the Customs Procedural Reform Act of 1977; reported on Office of the Special Trade Representative's actions including its plan to hold hearings on petitions for modification of the General System of Preferences List and administration of Orderly Marketing Agreements; provided legal and informational counseling services with respect to U.S. taxation matters; and otherwise reported on congressional and Executive Departments actions and developments bearing on economic and trade policy.

Item No. 13

On July 28, 1977, Lawrence R. Walders was interviewed by Mr. Robert Dallos, reporter for the New York Bureau of the Los Angeles Times at the latter's request. Mr. Dallos was preparing a special supplement on trade problems and planned to carry a major article on the dispute over imports of Japanese television sets.

On May 19, 1977, Registrant was interviewed by CBS in connection with the escape clause investigation of television receivers, voluntary restraint agreement between the United States and Japan.

On September 29 and 30, 1977, Registrant was interviewed by CBS and Store Broadcasting. respectively, at the request of the media during hearings held by the United States International Trade Commission pursuant to the Commission's investigation No. TEA-201-27 of Bolts, Nuts and Large Screws of Iron or Steel.

Registrant did not and does not believe that these activities benefited any of his foreign principals in any quantifiable terms, either directly or indirectly. However, since these activities may be perceived to have benefited any one or more of his foreign principals, these activities have been reported herein.

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D. C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

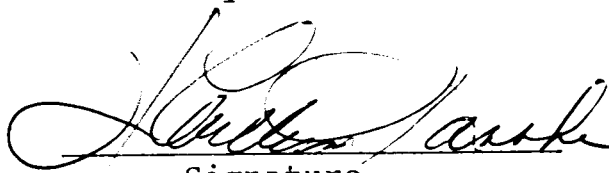
Yes _____ or No XXX

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No XXX

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)


Signature

November 10, 1977
Date

H. WILLIAM TANAKA

Please type or print name of
signatory on the line above

Attorney

Title

REGISTRATION UNIT
CRIMINAL DIVISION

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